

Banking Compliance & Enforcement

We help banks and financial institutions ensure compliance with the complex mix of state and federal regulations that govern their day-to-day operations. We regularly represent clients across the United States in their dealings with regulators, examiners and agencies at both the state and federal levels.

In enforcement actions, we balance an effective, aggressive defense of our clients with short- and long-term business goals, to achieve constructive and favorable resolutions. We provide guidance on a broad range of consumer lending, non-discrimination, privacy and credit-related laws.

Areas of Focus

Financial Institutions

Experience

Allegiance Bank

- in various regulatory compliance matters, including matters pertaining to the Federal Deposit Insurance Corporation (FDIC) and the Texas Department of Banking

Kentucky Bankers Association

- in an amicus brief support Republic Bank's position in both the FDIC's enforcement action and Republic Bank's lawsuit against the FDIC

Main Street Bank

- in various regulatory compliance matters, including legal lending limit issues

Metro United Bank

- in negotiating a consent with the FDIC and the California Department of Financial Institutions (CDFI)

Park Cities Bank

- in various regulatory compliance matters, including Regulation O and affiliated transactions issues

Pioneer Bank

- on various regulatory compliance matters, including matters before the Office of the Comptroller of the Currency

Texas Capital Bank, N.A.

- in various regulatory compliance matters, including matters before the Office of the Comptroller of the Currency

Woodforest National Bank

- in various regulatory compliance matters, including matters before the Office of the Comptroller of the Currency